

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kumar, et al.

Application No.: 10/036,286

Filed: 12/31/2001

Title: METHOD AND APPARATUS FOR
PROMOTING USE OF A
FINANCIAL PRODUCT

COPY OF PAPERS
ORIGINALLY FILED

Group Art Unit: 2161

Attorney Docket No.: G07.010

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

This is in response to the February 13, 2002 Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

() Statutory basic filing fee () Utility () Design

() Additional claim fees of \$

(X) Missing Parts Surcharge = \$130.00

() A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

() one month = \$110.00

() two months = \$400.00

() three months = \$920.00

() four months = \$1,960.00

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: March 5, 2002

Typed Name: Edith Martin

Signature: Edith Martin

By Scott B. Allison

Scott B. Allison

Reg. No. 38,370

Buckley Maschoff Talwalkar & Allison
5 Elm Street

New Canaan, CT 06840

(203) 972-5985/voice



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,286	12/31/2001	Neeraj Kumar	G07.010

Buckley, Maschoff, Talwalkar & Allison LLC
5 Elm Street
New Canaan, CT 06840



COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 4570

FORMALITIES LETTER



OC000000007467178

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Serdar Ibrahim
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2002 HBERHE 00000089 10036286

130.00

04/11/2002 HBERHE 00000089 10036286

01 FC:105

130.00 DP

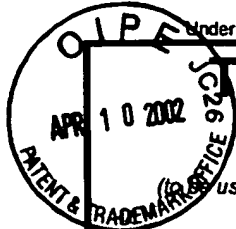
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)
COPY OF PAPERS
ORIGINALLY FILED

Application Number	10/036,286
Filing Date	December 31, 2001
First Named Inventor	Kumar
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	G07.010

Total Number of Pages in This Submission 6

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A check for \$130
---	--	---

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Scott B. Allison
Signature	<i>Scott B. Allison</i>
Date	March 15, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231 on March 15, 2002.			
Type or printed name	Edith Martin	Date	March 15, 2002
Signature	<i>Edith Martin</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant C.